



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Linda L. LUTFIYYA *et al.*

Appln. No.: 09/816,660

Filed: March 26, 2001

For: Nucleic Acid Molecules and Other  
Molecules Associated with Transcription  
in Plants

Art Unit: 1646

Examiner: To Be Assigned

Atty. Docket: 16517.008

**Response to Notice to File Missing Parts of Application**

**Box Missing Parts**

Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted dated August 3, 2001, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Copy of the Notice to File Missing Parts of Application – Filing Date Granted;
2. Combined Declaration and Power of Attorney for Patent Application, executed by Stanton B. Dotson (4 pages);
3. Combined Declaration and Power of Attorney for Patent Application, executed by David K. Kovalic, Jingdong Liu, Linda L. Lutfiyya and Wei Wu (4 pages);
4. Combined Declaration and Power of Attorney for Patent Application, executed by James D. McNinch (4 pages);
5. Returned postcard; and
6. Check No. 200712 in the amount of \$2,460 to cover:

\$ 740.00 Filing Fee for Patent Application (37 C.F.R. § 1.16);

\$ 162.00 Fee for excess claims over 20 (37 C.F.R. § 1.16);

\$ 1,428.00 Fee for independent claims over 3 (37 C.F.R. § 1.16); and

\$ 130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16).

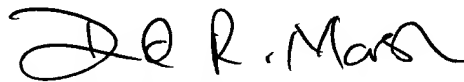
Assistant Commissioner for Patents

October 2, 2001

Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "D. R. Marsh".

David R. Marsh (Reg. No. 41,408)

June E. Cohan (Reg. No. 43,741)

Enclosures

ARNOLD & PORTER



202.942.5000  
202.942.5999 Fax

555 Twelfth Street, NW  
Washington, DC 20004-1206

October 2, 2001

Assistant Commissioner of Patents  
Washington, DC 20231

Re: U.S. Non-Provisional Patent Appln. No. 09/816,660  
Filed: March 26, 2001  
Title: Nucleic Acid Molecules and Other Molecules  
Associated with Transcription in Plants  
Inventors: Linda L. Lutfiyya, *et al.*  
Atty Docket: 16517.008/38-21(15300)G

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Response to Notice to File Missing Parts of Application (in duplicate);
2. Copy of Notice to File Missing Parts of Application – Filing Date Granted;
3. Combined Declaration and Power of Attorney for Patent Application, executed by Stanton B. Dotson (4 pages);
4. Combined Declaration and Power of Attorney for Patent Application, executed by David K. Kovalic, Jingdong Liu, Linda L. Lutfiyya and Wei Wu (4 pages);
5. Combined Declaration and Power of Attorney for Patent Application, executed by James D. McIninch (4 pages);
6. Check No. 200712 in the amount of \$2,460 to cover the basic filing fee, excess claims fee for claims over 20, fee for independent claims over 3 and surcharge for late filing of the executed Declarations; and
7. Return postcard.

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# ARNOLD & PORTER

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Assistant Commissioner for Patents

October 2, 2001

Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "D. R. Marsh". The signature is fluid and cursive, with the first letters of the first and last names being capitalized and prominent.

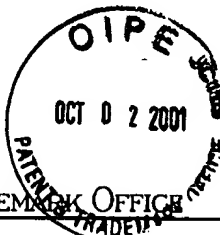
David R. Marsh (Reg. No. 41,408)

June E. Cohan (Reg. No. 43,741)

Enclosures



# UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/816,660	03/26/2001	Linda L. Lutfiyya	4983.238.01/38-21(15300)G

28381  
ARNOLD & PORTER  
IP DOCKETING DEPARTMENT; RM 1126(b)  
555 12TH STREET, N.W.  
WASHINGTON, DC 20004-1206

#4

CONFIRMATION NO. 8741

## FORMALITIES LETTER



\*OC000000006382938\*

Date Mailed: 08/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/04/2001 BABRAHA1 00000021 09816660

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	162.00 OP
03 FC:102	1428.00 OP
04 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1522.
  - \$162 for 9 total claims over 20.
  - \$1360 for 17 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2362.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE